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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Susan D. WOOLF, et al.

Serial No.: 09/455,805

Filed: December 7, 1999

For: SYSTEM AND METHOD FOR  
ANNOTATING AN ELECTRONIC  
DOCUMENT INDEPENDENTLY OF ITS  
CONTENT

)  
)  
) Group Art Unit: 2176

)  
) Examiner: M. Nguyen

)  
) Docket No.: 003797.78802

INFORMATION DISCLOSURE STATEMENT

U.S. Patent and Trademark Office  
Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

This Information Disclosure Statement is submitted for consideration by the United States Patent and Trademark Office in accordance with the duty of disclosure.

Transmitted herewith is:

☒ [X] A PTO/SB/08a form

☐ [ ] A Background Art Information Paper

☒ [X] Copies of the non-U.S. cited references are attached

☐ [ ] Concise explanation of non-English language information

☐ [ ] concise explanation of relevance:

☐ [ ] English translation of foreign language information

☐ [ ] English language version of foreign patent office report citing information

09/23/2005 HAL111 00000034 190733 09455805  
01 FC:1806 180.00 DA

☐ English language abstract(s) of foreign patent document(s)

☐ This application relies, under 35 U.S.C. §120, on the earlier filing date of U.S. Application Serial No. \*\*\*\*, filed \*\*\*. The information identified on the attached PTO 1449 form was submitted to and/or cited by the Patent and Trademark Office in this earlier application and, therefore, copies are not required to be provided in this application.

1. ☐ This Information Disclosure Statement is filed before the later of:
- three months from the filing date of this national application;
  - three months from the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or
  - the mailing date of a first office action on the merits.

In accordance with 37 CFR 1.97(b), no fee is required.

2. ☒ This Information Disclosure Statement is filed after the events noted in paragraph 1, but before either:
- a final action under 37 CFR 1.113, or an action that otherwise closes prosecution,
  - a Notice of Allowance under 37 CFR 1.311.

In accordance with 37 CFR 1.97(c), also enclosed is

☒ a fee under 37 CFR 1.17(p) for \$180.00; or

☐ a certification as stated below.

3. ☐ This Information Disclosure Statement is filed before payment of the issue fee, but after either
- a final action under 37 CFR 1.113, or an action that otherwise closes prosecution;
  - a Notice of Allowance under 37 CFR 1.311.

In accordance with 37 CFR 1.97(d), also enclosed is:

☐ a certification, as stated below; and

☐ the IDS processing fee set forth in 37 CFR 1.17(p)(1) in the amount of \$180.00.

Certification under 1.97(e)1 or 1.97(e)2

The undersigned states:

☐ Each item of Information contained in this Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement (e1 certification); or

☐ No item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing date of this Information Disclosure Statement (e2 certification).

**TOTAL FEES:** \$180.00

☒ Please charge Deposit Account No. 19-0733 in the amount of \$180.00.

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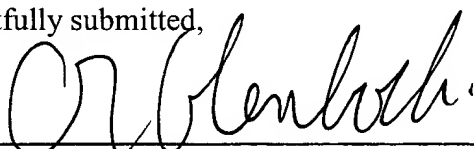
☒ Please charge or credit Deposit Account No. 19-0733 for any deficiency or overpayment associated with this Information Disclosure Statement.

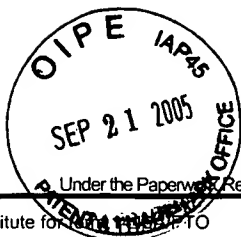
A duplicate copy of this sheet is enclosed for accounting purposes.

It is respectfully requested that the Examiner fully consider each item of information, initial the enclosed Form PTO/SB/08a in the appropriate place to indicate that the information has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609.

Respectfully submitted,

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PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for PTO Form 100

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

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of

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**Complete if Known**

Application Number	09/455,805
Filing Date	December 7, 1999
First Named Inventor	Susan D. WOOLF
Art Unit	2176
Examiner Name	M. Nguyen
Attorney Docket Number	003797.78802

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US- 5,237,648	08/1993	Mills, et al.	
		US- 5,761,683	06/1998	LOGAN, et al.	
		US- 5,786,814	07/1998	Moran, et al.	
		US- 5,923,326	07/1999	Bittinger, et al.	
		US- 5,924,104	07/1999	EARL	
		US- 6,025,841	02/2000	Finkelstein, et al.	
		US- 6,141,007	10/2000	Lebling, et al.	
		US- 6,151,622	11/2000	FRAENKEL, et al.	
		US- 6,320,169	11/2001	Clothier, Brian L.	
		US- 6,331,866	12/2001	Eisenberg, Peter M.	
		US- 6,437,807	08/2002	Berquist, et al.	
		US- 6,549,878	04/2003	Lowry, et al.	
		US- 6,662,310	12/2003	Lopez, et al.	
		US-6,772,139	08/2004	SMITH	
		US-6,788,316	09/2004	MA, et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		EP 0 890 926 A1	01/1999	Ishikawa		

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		C. Marshall, "Annotation from paper books to the digital library," ACM International Conf. on Digital Libraries, 1997, pp. 131-140.	
		"Joke eBook," 7/1998, PrimaSoft PC, Inc., Electronic Book Series Version 1.0, pp. 1-5.	
		D. Munyan, "Everybook, Inc: Developing the E-Book in Relation to Publishing Standards." 09/1998, <a href="http://www.futureprint.kent.edu/articles/munyan0.1.htm">http://www.futureprint.kent.edu/articles/munyan0.1.htm</a> , pp. 1-7.	
		PhotoImpact 3.0, Ulead Systems Inc., 1996.	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.